



Attorney Docket No. YO999-426 AF 270²

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application

Applicant(s): C.J. Chen et al.
Docket No.: YO999-426
Serial No.: 09/437,971
Filing Date: November 10, 1999
Group: 2654
Examiner: Patrick N. Edouard

I hereby certify that this paper is being deposited on this date with the U.S. Postal Service as first class mail addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature:

Date: March 31, 2004

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APR 06 2004

Title: Methods and Apparatus for Semantic Unit Based
Automatic Indexing and Searching in Data Archive Systems

Technology Center 2600

AMENDMENT TRANSMITTAL

Mail Stop AF
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Submitted herewith is the following document relating to the above-identified patent application:

(1) Amendment After Final Rejection Under 37 C.F.R. §1.116.

There is no additional fee due in conjunction with the Amendment. In the event of non-payment or improper payment of a required fee, the Commissioner is authorized to charge or to credit **International Business Machines Corporation Deposit Account No. 50-0510** as required to correct the error.

Respectfully submitted,

William E. Lewis
Attorney for Applicant(s)
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90 Forest Avenue
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(516) 759-2946

Date: March 31, 2004



Attorney Docket No. YO999-426

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Signature: Lisa L. Chilpis Date: March 31, 2004

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Automatic Indexing and Searching in Data Archive Systems

AMENDMENT AFTER FINAL REJECTION UNDER 37 C.F.R. §1.116

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Please DO NOT Enter ✓
Initial in Date 04/06/04

Sir:

In response to the outstanding final Office Action dated January 13, 2004, please amend the above-identified application as follows: